PTO-1390 (Rev. 12-2004) use through 3/31/2007. OMB 0651-0021 App U.S. Patent and Traden B; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information ness it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

ATTORNEY'S DOCKET NUMBER SCH-00059-US2 U.S. APPLICATION MQ. (IS known see 3 CFR 1.6)

CONCERNING A SUBMISSION	(To Be As iched) 21280									
INTERNATIONAL APPLICATION NO. PCT/US03/22247	July 16, 2003	PRIORITY DATE CLAIMED July 16, 2002								
TITLE OF INVENTION WHITE LED HEADLIGHT										
APPLICANT(S) FOR DO/EO/US Ronald L. Steen, et al.										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items or	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT :	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. This is an express request to begin nat (5), (6), (9) and (21) indicated below.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. The US has been elected (Article 31).										
<b>5</b> ₩	S-7									
a. 🔀 is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).									
b. has been communicated by	b. has been communicated by the International Bureau.									
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
	a. Li is attached hereto.									
$\square$	b. La has been previously submitted under 35 U.S.C. 154(d)(4).									
	[7]									
	a.  are attached hereto (required only if not communicated by the International Bureau).									
_	b. Light have been communicated by the International Bureau.  c. In have not been made; however, the time limit for making such amendments has NOT expired.									
r⊠	<b>∞</b>									
	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT									
Items 11 to 20 below concern document(s	s) or information included:									
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12 · An assignment document for recording	ng. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.								
13. A preliminary amendment.										
14. An Application Data Sheet under 37	CFR 1.76.									
15. A substitute specification.										
16. A power of attorney and/or change of	f address letter.									
17. A computer-readable form of the seq	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
18. A second copy of the published Intern	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
Court	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Courtesy Copy of Published PCT App; Credit Card Form PTO-2038									
20. Other items or information: Expre	ss Mail Cert. No. ER 530	036 268 US; & Postcard								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approver use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER					
	U.S. APPLICATION NO. (it known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/US03/22247			SCH-00059-US2					
	ng fees are submitted:		•			<u> </u>	plicant use	Office use only	
a) Basic national fee\$300.00				\$300.00	\$	300.00			
b) Examination fee\$200.00				\$200.00	\$	200.00			
C) Search fee\$500.00					\$	500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00					\$	1,000.00	i		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each									
additional 50 sheets of paper or fraction thereof.									
Total Sheets	Total Sheets Extra sheets Number of each additional 50 or fraction RATE			RATE					
		thereof (	thereof (round up to a whole number)						
- 100 =	/50 =				× \$250.00	\$	-0-		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	-0-			
CLAIMS	NUMBER FIL	ED	NUMBER EXTRA		RATE	\$			
Total claims	82	- 20 =	62	×	\$50.00	\$	3,100.00		
Independent clai	ms 5	- 3 =	2	х	\$200.00	\$	400.00		
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	-0-		
			TOTAL OF ABOVE (	CALC	ULATIONS =	\$	4.500.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	-0-			
SUBTOTAL =						\$	4,500.00	•	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	-0-			
TOTAL NATIONAL FEE =						\$	4,500.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	-0-			
TOTAL FEES ENCLOSED =						\$	4,500.00		
Amount to be refunded:								\$	
								\$	
Amount to be charged							<i></i>		
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No561612 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
	RESPONDENCE TO:		•		a b				
		,*			The				
Philip R. Warn Warn, Hoffmann, Miller & LaLone, P.C.  Philip			R. Warn						
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## LAW OFFICES

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## Intellectual Property Matters PATENTS, TRADEMARKS AND COPYRIGHTS

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ER530036268US

Sir:

## **EXPRESS MAILING CERTIFICATE**

Applicant: Ronald L. Steen, et al.

Serial No. (if any): (To Be Assigned)

For: WHITE LED HEADLIGHT

Docket: SCH-00059-US2

Attorney: Philip R. Warn

"Express Mail" Mailing Label Number . . . . . . . . . ER 530 036 268 US

Date of Deposit . . . . . . . . . . . . . . . . . . January 14, 2005

I hereby certify and verify that the accompanying Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate); along with the enclosures noted therein (including Credit Card Payment Form (PTO-2038) are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Documents